



*At the Court at Buckingham Palace*

THE 8th DAY OF MARCH 2006

PRESENT,

THE QUEEN'S MOST EXCELLENT MAJESTY  
IN COUNCIL

The following Report of a Committee of the Privy Council dated 6th March 2006 was today considered:--

“YOUR MAJESTY was pleased, by Your Order of 12th October 2005, to refer to this Committee a Petition of City University praying for the grant of a Supplemental Charter:

“THE COMMITTEE have accordingly considered the Petition and have agreed to report it as their opinion that a Charter may be granted in the terms of the annexed Draft.”

HER MAJESTY, having taken the Report and the Draft Supplemental Charter accompanying it into consideration, was pleased, by and with the advice of Her Privy Council, to approve them. It is accordingly ordered that the Right Honourable the Lord High Chancellor of Great Britain should cause a Warrant to be prepared for Her Majesty's Royal Signature for passing under the Great Seal a Supplemental Charter in conformity with the annexed Draft.

*A. K. Galloway*

# ELIZABETH THE SECOND

by the Grace of God of the United Kingdom of Great Britain and Northern Ireland and of Our other Realms and Territories Queen,  
Head of the Commonwealth, Defender of the Faith:

## TO ALL TO WHOM THESE PRESENTS SHALL COME, GREETING!

WHEREAS We did, on the sixth day of April 1966, grant a Charter constituting and founding a University within Greater London by the name of "The City University":

AND WHEREAS the Charter incorporating the City University provided for the constitution and government of the University and the management of its affairs:

AND WHEREAS Articles 1 and 2 of the Charter provided as follows:—

- "1. There shall be and there is hereby constituted and founded in Greater London a University with the name and style of "The City University" (hereinafter called "the University").
2. The Chancellor, the Pro-Chancellor, the Vice-Chancellor and Principal, and all other persons who shall for the time being be members of the University pursuant to this Our Charter and the Statutes of the University are hereby constituted and henceforth forever shall be one Body Politic and Corporate with perpetual succession and a Common Seal by the name and style of "The City University" with power to obtain through Our College of Arms a grant of armorial bearings (which shall be duly recorded in Our said College) and in that name to sue and be sued and to take and hold land and to do all other lawful acts whatsoever":

AND WHEREAS by the Charter the Council of the University was designated as the Governing Body of the University:

AND WHEREAS the Council of the University has presented to Us in Council a humble Petition submitting that it is desirable that certain of the provisions made for its constitution and government should be amended by the grant of a Supplemental Charter:

NOW, THEREFORE, KNOW YE that We having taken the said Petition into Our Royal consideration have of Our especial grace, certain knowledge and mere motion willed and ordained and do by these Presents, for Us, Our Heirs and Successors, will and ordain as follows:

1. The Charter of 1966, apart from Articles 1 and 2, is hereby revoked; but nothing in this revocation shall affect the validity or legality of any act, deed or thing lawfully done under the provisions of the Charter of 1966.
2. Definitions and Interpretation
  - (a) In the Charter and the Statutes:—

The words "Alumni Association", "Annual Stakeholders' Meeting", "Charter", "Council", "Senate", "School", "Board of Studies" refer respectively to the Alumni Association, the Annual Stakeholders' Meeting, the Charter, the Council, the Senate, a School, a Board of Studies of the University.

The words “Chancellor”, “Pro-Chancellor”, “Deputy Pro-Chancellor” and “Vice-Chancellor” refer respectively to the Chancellor, the Pro-Chancellor, the Deputy Pro-Chancellor and the Vice-Chancellor of the University.

“Graduates” means persons upon whom Degrees of the University have been conferred.

“Independent members” means members of the Council who are neither Staff nor Students of the University and are otherwise independent of the University.

“Officers” means persons holding any office in the University established under the Charter and these Statutes.

“Ordinances” means Ordinances made under the Charter or the Statutes.

“Ordinary Resolution” means a resolution passed by a simple majority at a meeting of the Council.

“Regulations” means Regulations made under the Charter, the Statutes or the Ordinances.

“Special Resolution” means a resolution passed at a meeting of the Council, provided that notice of the meeting is given to each member of the Council not less than fourteen clear days before the meeting, and that the resolution is passed by a majority of not less than three fourths of the members of the Council present and voting. The notice of the meeting shall set out the resolution in full.

“Staff” means all persons employed by the University.

“Stakeholders” means persons who have an interest in, or relationship to, the University, including by virtue of a funding role or regulatory function, a business or commercial venture, a local social or community initiative or a desire to promote mutual aims and objects.

“Students” means persons pursuing any course of study in the University.

“Students’ Union” means an association of all students of the University.

“University” means The City University.

- (b) Words defined in the Charter shall have the same meaning in the Statutes, the Ordinances and the Regulations unless the context indicates otherwise.
- (c) The headings used in the Charter and in the Statutes, Ordinances and Regulations are provided for reference only and are not intended to have legal effect.

### 3. Objects of the University

The objects of the University shall be to advance education, knowledge, wisdom and understanding by teaching and research, both within the University and in close association with business and the professions, for the benefit of individuals and society at large.

### 4. Powers of the University

Subject to the provisions of the Charter and Statutes, and in the furtherance of its objects, the University shall have all the powers of a natural person including, but not limited to, power:

- (a) In relation to teaching and research:
  - (i) to provide programmes of study or instruction;
  - (ii) to admit people to programmes of study on the basis of their qualifications and experience;
  - (iii) to set the level of fees in respect of programmes and to require payment of such fees;
  - (iv) to prescribe and administer examinations and other forms of assessment;
  - (v) to confer degrees and other education awards;
  - (vi) to carry out research;
  - (vii) to withdraw awards conferred by the University.
- (b) In relation to Students:
  - (i) to prescribe rules for the discipline of Students;

- (ii) to provide procedures for the hearing of complaints by Students.
- (c) In relation to Staff:
  - (i) to employ Staff in such positions as may be required, and to prescribe their conditions of service.
- (d) In relation to other institutions:
  - (i) to affiliate other institutions, or branches or departments of other institutions;
  - (ii) to co-operate and collaborate with other institutions;
  - (iii) to award joint degrees and other education awards with other institutions;
  - (iv) to incorporate into the University any other institution and take over its rights, property and liabilities, for any purpose not inconsistent with the Charter.
- (e) In relation to the provision of services and goods, within the limits applicable to a charity:
  - (i) to exploit for the University's benefit a facility or resource of the University, including study, research or knowledge, or the practical application of study, research or knowledge, belonging to the University, whether alone or with someone else;
  - (ii) to sell books, and other learning resources, intellectual property and other goods and services consistent with the Charter;
  - (iii) to print, reproduce and publish research and other works initiated by the University, or to provide for their printing, reproduction and publication;
  - (iv) to provide facilities and resources for the wellbeing of the University's Students and Staff.
- (f) In relation to the transaction of University business:
  - (i) to enter into contracts;
  - (ii) to acquire, hold, dispose of and deal with property;

- (iii) to raise funds;
- (iv) to act as trustee or manager of any property or funds given or bequeathed to the University for purposes of education or research or other objects of the University, and to invest any such funds.

(g) In relation to the Council:

Within the limits applicable to a charity, to pay remuneration to a member or members of the Council in respect of his, her or their services.

- (h) To do anything else necessary or convenient, whether incidental to these powers or not, in order to further the objects of the University as a place of education, learning and research.

5. The Council

There shall be a Council of the University. The Council shall be the supreme Governing Body of the University and shall act in a way that promotes the University's interests.

6. Functions of the Council

The Council's functions shall be to:

- (a) Be ultimately responsible for the affairs of the University.
- (b) Set the University's strategic direction.
- (c) Ensure the effective management and control of the University's affairs, property and finances.
- (d) Determine the structure, staffing and overall composition of the University.
- (e) Appoint the Vice-Chancellor, Pro-Chancellor and Deputy Pro-Chancellor.

7. Powers of the Council

Subject to the Charter, and in furtherance of its role as the supreme Governing Body, the Council shall exercise all the powers of the

University; and without limiting the above, the Council has the specific powers set out in the Ordinances.

8. Delegation by the Council

- (a) Subject to sub-paragraph (b) below, the Council may delegate its powers under the Charter, the Statutes and Ordinances to:
  - (i) an appropriately qualified member of the Council; or
  - (ii) an appropriately qualified committee that contains one or more members of the Council; or
  - (iii) an appropriately qualified member of the University's staff.

Provisions governing the delegation of the Council's powers are set out in the Ordinances.

(b) The Council may not delegate its powers:

- (i) to appoint and dismiss the Vice-Chancellor and to approve the Vice-Chancellor's terms and conditions of appointment;
- (ii) to approve the annual business plan recommended by the Vice-Chancellor;
- (iii) to amend the Charter under the provisions of Article 17;
- (iv) to make University Statutes or Ordinances;
- (v) to adopt the University's Annual Accounts;
- (vi) to appoint the University's Auditors.

9. The Senate

There shall be a Senate of the University which shall have delegated authority from the Council for the enhancement of academic quality and assurance of academic standards and which shall have the composition, powers and functions conferred on it in the Ordinances.

10. Annual Stakeholders' Meeting

There shall be a group of Stakeholders which shall meet at an Annual Stakeholders' Meeting which shall have the name, composition and

functions prescribed in the Ordinances.

11. Students' Union

There shall be a Students' Union of the University which shall have the composition, powers and functions prescribed in the Ordinances.

12. Alumni Association

There shall be an Alumni Association of the University which shall have the composition and functions prescribed in the Ordinances.

13. The Chancellor

- (a) There shall be a Chancellor of the University who shall be the Head of the University.
- (b) The Chancellor shall be the Lord Mayor of The City of London for the time being.
- (c) The Chancellor shall be entitled to preside over the Annual Stakeholders' Meeting and to confer awards on behalf of the University.

14. The Pro-Chancellor

There shall be a Pro-Chancellor of the University who shall be the Chair of the Council and shall act as Chancellor in the absence of the Chancellor or in the event of a vacancy in that post.

15. The Deputy Pro-Chancellor

There shall be a Deputy Pro-Chancellor of the University who shall be the Vice-Chair of the Council and who shall act as Pro-Chancellor in the absence of the Pro-Chancellor or in the event of a vacancy in that post.

16. The Vice-Chancellor

- (a) There shall be a Vice-Chancellor of the University who shall also be Principal and President of the University.
- (b) The Vice-Chancellor shall assume the role of the chief executive officer of the University.
- (c) In the event of the Vice-Chancellor's death or incapacity, or a vacancy

in the office of Vice-Chancellor, the Council may appoint an Acting Vice-Chancellor in accordance with the Ordinances. In such an event, the term "the Vice-Chancellor" in this Charter, the Statutes and the Ordinances shall apply to the duly appointed Acting Vice-Chancellor.

17. The Charter

The Council may at any time add to, amend, or revoke the Charter by a Special Resolution. Such addition, amendment, or revocation shall only become effective when approved by Us, Our Heirs or Successors in Council. A certificate under the hand of the Clerk of the Privy Council shall be conclusive evidence of such approval. This Article shall apply to the Charter as added to, amended, or revoked in this manner.

18. The Statutes

Subject to the provisions of the Charter, Statutes shall prescribe:

- (i) The constitution and business of the Council;
- (ii) Such other provisions as the Council may see fit in connection with the governance of the University, or for the promotion of the objects of the Charter.

The Statutes may direct that any of the matters prescribed or regulated by Statute as authorised or directed in the Charter shall be further prescribed or regulated by Ordinance, by Regulation, or by decision made by the Council.

The Council may, at any time, by Special Resolution, make Statutes for the University which may add to, amend or revoke the Statutes for the time being in force; provided that such Statutes shall not be inconsistent with the provisions of the Charter. Such addition, amendment or revocation shall only become effective when approved by the Privy Council. A Certificate under the hand of the Clerk of the Privy Council shall be conclusive evidence of such approval.

19. The Ordinances

Subject to the provisions of the Charter and the Statutes the Council may, by Ordinary Resolution, make, add to, amend or revoke such Ordinances as it considers necessary or desirable for the purpose of carrying out the

objects of the University, and shall stipulate when such Ordinances come into effect; provided that no Ordinances shall be inconsistent with the provisions of the Charter or Statutes.

20. The Regulations

Subject to the provisions of the Charter, the Statutes and Ordinances, the Senate may, within the responsibilities delegated to it by the Council, make, add to, amend or revoke Regulations relating to:

- (a) teaching, research, programmes of study and the award of degrees and other academic distinctions;
- (b) the conduct of examinations;
- (c) the University Library;
- (d) the requirements for admission to the University and to any particular scheme of study;
- (e) the conditions under which Students shall be permitted to continue their studies in the University;
- (f) academic dress;
- (g) the discipline of the Students of the University; and
- (h) such other matters within its delegated responsibilities as it considers necessary or desirable for the purpose of carrying out the objects of the University.

21. Academic Freedom

Academic staff shall have freedom within the law to question and test received wisdom, and to put forward new ideas and controversial or unpopular opinions, without placing themselves in jeopardy of losing their jobs or privileges.

22. Discrimination

The University shall show no discrimination on account of political belief, gender, sexual orientation, disability, age, marital status, race, ethnic origin, religion or social background against any person in determining whether he or she is to be admitted as a member of the Staff, or as a Student, or to

graduate from the University or to hold any advantage or privilege of the University.

23. Dividend, Gift, Division or Bonus in Money

The University shall not make any dividend, gift, division or bonus in money to or between any of its members except by way of prize, reward or special grant.

24. Visitor

We reserve unto Ourselves, Our Heirs and Successors, on representation from the Council following a Resolution passed by a simple majority of the

members of the Council present and voting, the right by Order in Council to appoint a Visitor of the University for such period and with such duties as We, Our Heirs and Successors shall see fit.

25. The Charter shall always be construed benevolently and most favourably to the University and the promotion of the objects of the Charter.

IN WITNESS whereof We have caused these Our Letters to be made Patent.

WITNESS Ourselves at Westminster the                      day of                      200    in the  
year of Our Reign.

BY WARRANT UNDER THE QUEEN'S SIGN MANUAL

# SCHEDULE

## STATUTES OF THE CITY UNIVERSITY

### STATUTE 1 – COMPOSITION AND VOTING OF THE COUNCIL

1. The Council shall consist of the following members subject to there being a maximum number of 22 members:
  - (a) Members by virtue of the office they hold:
    - (i) The Chancellor;
    - (ii) The Pro-Chancellor (Chair of Council);
    - (iii) The Deputy Pro-Chancellor (Vice Chair of Council); and
    - (iv) The Vice-Chancellor (Chief Executive).
  - (b) Independent members:

Up to twelve additional Independent members (ensuring an overall ratio of two to one of Independent to Staff and Student members), appointed by the Council.
  - (c) Staff and Student members:

Up to six additional members appointed by the Council and drawn from Students and Staff including members of Staff with appropriate academic and professional leadership responsibilities (ensuring an overall ratio of two to one of Independent to Staff and Student members).
2. Period of Office
  - (a) All members of the Council, except Student members, shall hold office for three calendar

years or for such shorter period as the Council may determine at the time of appointment.

- (b) Except where otherwise provided all retiring members shall be eligible for re-appointment up to a maximum in total of three terms of three years or nine years in total in any one capacity.
    - (c) Student members of the Council shall hold office for one calendar year, renewable for up to two further calendar years.
    - (d) Any casual vacancy occurring by resignation, incapacity or death among the members of the Council shall be filled by the Council provided that any person appointed to fill a casual vacancy shall be appointed only for the unexpired portion of the period of office of his or her predecessor.
    - (e) Any member of the Council may resign at any time by writing addressed to the person performing the role of secretary to the Council.
  3. Quorum
    - (a) The quorum shall be one-third rounded up to the next whole number of the total actual membership of the Council, with a proportion of Independent to Staff and Student members present being at least two to one.
    - (b) In the absence of a quorum, at the beginning of or during a meeting of the Council, no business shall be transacted other than the

adjournment of the meeting. At a re-convened meeting following an adjournment for lack of a quorum, the business for which the original meeting was called may be completed in the absence of a quorum. The manner of re-convening the adjourned meeting and the period of notice to be given shall be prescribed in the Ordinances.

### STATUTE 2 – COMMITTEES OF THE COUNCIL

1. There shall be Committees of the Council with responsibility for audit, remuneration and nominations.
2. The Council may from time to time establish additional standing, special and advisory Committees, Sub-Committees or Boards.
3. The constitution and responsibilities of Committees of the Council shall be set out in the Ordinances.

### STATUTE 3 – THE AUDITORS

1. The Council shall appoint an Auditor or Auditors. Every such Auditor shall be a member of a recognised supervisory body (as defined in Part II of the Companies Act 1989) who is eligible for the appointment under the rules of that body. No person shall be appointed Auditor who is or any one of whose partners is a member of the Council or Staff of the University.



2. The Auditor or Auditors shall hold office for such period and shall receive such remuneration as may be determined by the Council.
3. The Auditor or Auditors shall have a right of access at all reasonable times to the books, records, accounts and vouchers of the University and shall be entitled to require from the University such information and explanations as may be necessary for the performance of his/her or their duties.
4. If the office of Auditor or Auditors shall become vacant by his/her or their death or resignation or any other cause before the expiration of his/her or their period of office the Council shall forthwith

appoint an Auditor or Auditors in his/her or their place for the remainder of such period.

5. An Auditor may resign by writing addressed to the Council.
6. The Auditor or Auditors shall make a report to the Council as to the accuracy of the Annual Accounts and the financial management of the University at least once in each year.

#### **STATUTE 4 – ACTS DURING VACANCIES**

Acts or resolutions of the Council, any Committee or Board constituted in accordance with these Statutes shall

not be invalid by reason only of any vacancy in the body doing or passing it; nor will such acts or resolutions be invalid by reason of any want of qualification by or invalidity in the election or appointment of any member of the body whether present or absent.

#### **STATUTE 5 – INTERPRETATION OF STATUTES**

These Statutes shall be interpreted so as not to conflict with the Charter.